# Speaker – John R. Mashey



# **Small is Beautiful**

### And Other Thoughts on Programming Strategies (1977-)

For the 2002 BSDcon, I grabbed talks from 30 years ago, and used (images of) the original foils for authenticity, to help see what's changed and what's the same.\* The first part, "Small is Beautiful and Other Thoughts on Programming Strategies," was first used in 1977, and was later given many times as Association for Computing Machinery (ACM) National Lectures.

I was working on the Programmer's Workbench flavor of UNIX, and we'd had great success in making UNIX available to much wider audiences of software engineers targeting both UNIX-related and non-UNIX environments.

We were strong believers in UNIX philosophies of tool-building and -using, and keeping software teams small during an era when there was strong emphasis on methodologies and large teams that were anything but lightweight. This talk was the result, and was considered somewhat radical at the time.

→ Scripting languages, development environments, "agile development"

\* I still have the original foils, but they're starting to wear out, and actually, old overhead projectors have started to disappear in favor of computers.... Originals were UNIX *troff* + hand-drawn graphics ... not PowerPoint! From: http://www.usenix.org/events/bsdcon/mashey\_small, Thanks USENIX!

### Title



# Introduction

NOTES: NIW I'LL ZELL WITH I'M L.ING. TO ZELL	TOP TOP DO NOT AFFIX FLIP OVERLAYS ALONG THIS SURFACE	
	INTRODUCTION	· –
	APPROACHES	4
i j :	<ul> <li>"Do it right"</li> </ul>	· ,
	• "Do it over"	. !
	<ul> <li>"Do it small, with tools"</li> </ul>	
:	OBSERVATIONS ("Why things are bad; how they get that way")	 
نے ، ،	<ul> <li>Success vs. Failure ["Nothing works"]</li> </ul>	<u> </u>
	<ul> <li>Size of projects ["Small is beautiful"]</li> </ul>	
	<ul> <li>Evolution and Entropy ("Everything fails apart")</li> </ul>	1
	STRATEGIES AND TACTICS ["Keeping good; stopping bad from getting worse"]	
Bell Laboratories	RAYS OF HOPE ["A few candles in the tunnel"]	
		· ····

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E 7051 (5-77)

# **Approaches**

1 RESEARCH; ( 1 JUPTWALE FOR	APPROACHES	
CF, BYT PRODUCTON CTWARE MONTANIAL	KINDS OF PROJECTS	
: uor	<ul> <li>Development and support</li> </ul>	
WITHUE ANGALANS	<ul> <li>NOT research, although often should be</li> </ul>	
LAD DL DIFENSOVE	CONTINUUM OF APPROACHES	
GONE PUT	Analyze and plan	ι ι
• •	<ul> <li>Design</li> </ul>	•
·	Implement	_
	• Test	-
	Maintain [\$\$]	
	APPROACH CLASSIFICATION	
	<ul> <li>Emphasis and priority</li> </ul>	
(1) Bell Laboratories	<ul> <li>Timing [serial, parallel, duration]</li> </ul>	
	• Risk	

# "Do It Right"



# "Do It Over"



# Do It Small, with Tools



# **Overview**



### **Success vs Failure**



### Qualitative Metrics, a later addition

CUSTOMER NETWORK OPERATIONS



# **Data Processing**

NOTES:	CO NOT AFFIX FLIP OVERLAYS ALONG THIS SURFACE
	DATA PROCESSING
	"Advanced Projects in Data Processing [EDP71A]
· · ·	<ul> <li>Survey of projects</li> <li>18 projects total</li> <li>5 infeasible</li> <li>5 feasible, but no acceptance</li> <li>2 good fall-outs, no return</li> <li>3 partial success, return (?)</li> <li>3 success</li> </ul>
	<ul> <li>Look at 4 very successful projects</li> </ul>
	- All < 1 year elapsed time, < 5 staff-years
	<ul> <li>None were accepted immediately</li> </ul>
	"We took as "successful" a project that met its requirements on schedule within the budgeted dollars and satisfied the customer. On this basis, out of 10 or 12 projects that we examined, we had one success and a whole lot of failures." - J. Aron (IBM) $\frac{1}{2}$ (19) $\frac{1}{2}$

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# More D. P. (GOVT)



### Other Areas of R&D and Outside R&D



# Ways in Which Projects Fail



### Aspects of Size



### End-Product vs "Overhead"



# Size of Support Methodology



# **Evolution and Entropy**



# How Things Get Complex

DR. HAX NOTES: GRAHNON: SAtisk Health	DO NOT AFFIX FLIP OVERLATS ALONG THE DOMINIC
"buranciatic displacement"	course the greatest fallacy of all."
have in excerditure will	- Belady and Lehman, in [BEL77A]
production; tall in	"Design maintenance programmers require a maintenance programmers required a maintenance that the second se
Which holes in The. Bewnomic universes	Historically, management tended to place less experienced Historically, management tended to place less experienced
Suching in Rockers God. Thinking remitted gudention	dangerous mistake." - E. B. Daly (GTE/Automatic Electric), in [DAL77A]
	"The simplest things, which only fifty years ago one could do without difficulty, cannot get done any more." - E. F. Schumacher, in [SCH73B]
	PARKINSON'S LAW ("Things expand to limits")
Mitry frederics clan	GAMMON'S "BLACK HOLES"
Bubbb Herety Levre Sperfet = How IT Golds From 1175000 Forest 87285	PROBLEM IS: OK SOLUTION IS:
	SIMPLE SIMPLE
🛞 Bell Laboratorie: 🗠	Breakthrous
BREAK WITHING	COMPLEX

# **Local Scenarios**

	SEQUENCE NO
NOTES:	DO NOT AFFIX FLIP OVERLAYS ALONG THIS SURFACE
well, which about he case where"	LOCAL SCENARIOS
	Producer
	"Here's a wonderful new feature!"
	"Somebody might want this someday."
	Consumer
	"I want/need this feature yesterday."
	"Don't tell me it's better; I need 100% upward compatibility forever."
	"Fix this MR now." (MR myopia vs MR as symptom)
	Producer/Consumer Assymmetry
	"Why do you make me use this complex software with a zitlion options and weird syntax? I always write simple things with reasonable features [like that nifty one I added yesterday.]"
Bell Laboratories	"A second complexity problem is offering the user too many options." — G. J. Myers [MYE76A]

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### Creeping Featurism (\* coined in 1976 paper, I think)



# **Creeping Featurism – Overlay Build**

#### THING



# THING + FEATURE









- + FEATURE
- + FUTURE FEATURE
- + NEEDED FEATURES





THING

- + FEATURE
- + FUTURE FEATURE
- + NEEDED FEATURES

UPWARD-COMPATIBLE THING RELEASE 2



THING

- + FEATURE
- + FUTURE FEATURE
- + NEEDED FEATURES

UPWARD-COMPATIBLE THING RELEASE 2

### BUT GOOD ENOUGH COVERS THE REAL NEEDS

# Featuris Creepis (Baby) later addition



# Featuris Creepis (Adult) later addition



### **Usage Concentration and Intuition**



## **Strategies and Tactics**



# **Dependent Projects**



#### Risk Assessment – 1 Alligator, later addition



### **Build It Quick**



# **Use Existing Tools**



# **Build Tools (Instead of Systems)**



# **Connecting Tools**



## **Progress in Tool-Oriented Approach**



### Tools Are Good, later addition





#### Problems, later addition





# Small tactics (External)



# **Small Tactics (Internal)**



### Performance

TOP: DO NOT AFFIX FLIP OVERLAYS ALONG THIS SURFACE NOTES: . PERFORMANCE "Premature optimization is the root of all evil." - D. E. Knuth Modest early analysis, to avoid obvious • • • impossibility Measure, understand, change HAND to INCOLOT New Algorithm W BAthly للعظلانية Minor code rearrangements Small rewrite from high to low level SHELLAG **Binding times** ٠ Defer binding as long as possible P85. A0040,564 MANATE Use higher level constructs [late binding], convert if needed Control structure late binding. **Bell Laboratories** processing early - SNOBOL, APL, shell procedures. VG. NO. 49 E-7351 (5-77)

# **Rays of Hope**



### Conclusion



# We Have Met the Enemy



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- BOE73A Boehm, B. A. Software and its impact: a quantitative assessment. Datamation 19, 3 (May 1973), 48-59. Programming costs a lot; it will get worse before it gets better.
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- DIJ72A Dijkstra, E. W. The Humble Programmer. <u>Comm. ACM 15</u>, 10(Oct. 1972), 859-866. Evils of complexity; PL/I as the fatal disease.
- DOL76A Dolotta, T. A., and Mashey, J. R. An Introduction to the Programmer's Workbench. <u>Proc. Second Int. Conf. on Software Engineering</u>, Oct. 13-15, 1976, 164-168. Design philosophy {7.2}: we argued a lot about this but it worked.

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- EDP71A Advanced Projects in Data Processing. EDP Analyzer 9, 11(Nov. 1971), 1-14. Hardly anything works.
- EDP77A Getting the Requirements Right. <u>EDP Analyzer 15</u>, 7(July 1977). ...is not easy to do.
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- ELS77A Elshoff, J. L. The Influence of Structured Programming on PL/I Program Profiles. <u>IEEE Trans. on Software Eng. SE-3</u>, 5(Sept. 1977), 364-368. Real data in place of faith in how wonderful it will be.
- GAL75A Gall, J. <u>SYSTEMANTICS</u> <u>How Systems Work and Especially How They Fail</u>. Quadrangle/New York Times Book Company, New York, 1975. Systems work poorly or not at all: a light treatment.
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- JOY71A Joyce, W. B. Organization of Unsuccessful R&D Projects. <u>IEEE Trans. on</u> <u>Engineering Management Vol. EM-18, 2(May 1971), 57-65.</u> Most R&D projects fail; planning for failure saves money.
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- KER79A Kernighan, B. W., and Mashey, J. R. The UNIX<sup>TM</sup> Programming environment. <u>Software-Practice and Experience 9</u>, (1979), 1-15. See especially "UNIX AND MODERN PROGRAMMING METHODOLOGIES".
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- NAU69A Naur, P., Randell, B. (eds.) <u>Software</u> <u>Engineering</u>. Scientific Affairs Division, NATO, Brussels 39, Belgium, Jan. 1969. Required reading, if you can find a copy. See McIlroy's comments.
- PAP73A Papanek, V. <u>Design for the Real World</u>. Bantam Books, Toronto, 1973. Friends of Bucky Fuller will like this one.
- PAP77A Papanek, V., and Hennessey, J. <u>How Things Don't Work</u>. Pantheon Books, New York, 1977. Good thoughts for designers of anything; see Ch. 7 especially.

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- ROS76A Ross, D. T. Homilies for Humble Standards. <u>Comm. ACM 19</u>, 11(Nov. 1976), 595-600. A rational view on the role of standards; read before <u>imposing</u> any.
- SCH73B Schumacher, E. F. <u>Small is Beautiful</u>. Harper & Row, New York, 1973. No mention of programming, but the philosophy is applicable.
- STR72A Strunk, W., Jr., and White, E. B. <u>The Elements of Style</u>, <u>2nd</u> <u>ed</u>.. MacMillan, New York, 1972. Everyone should read this once a year and write code that reads like this.
- TH075A Thompson, K. In <u>Structured Programming</u>, <u>The UNIX Command Language</u>. Inforech State of the Art Report, London, Mar. 10-12, 1975. 375-384. Good minimalist philosophy; features that fill much-needed gaps.

For newer versions, see <u>Bell System Technical Journal 57</u>, No. 6, Part 2 (July-August 1978), an entire issue on UNIX and its offspring.





# 1977-now Retrospective

- Somewhat non-mainstream at the time
  - Language Design for Reliable Software (rejected) →
  - B. W. Kernighan, J. R. Mashey, "The UNIX Programming Environment", <u>Computer</u> 14, 4 (April 1981), 12-24.
- Tools, components,
- Shell programming, awk → scripting languages
- Automation source control, build tools
- "Agile programming" somewhat of a descendant
  - Same things get rediscovered again and again, with different names
- Still hard to get requirements right
  - Many implicit decisions
  - Some addressed in later "Software Army on the March" talk
  - Best tool I've seen so far: Ravenflow, <u>www.ravenflow.com</u>. SEE THIS.

### **Retrospective – Open Source**

- "Open Source" is most recent term for "ancient" practice
  - ~1948 David Wheeler invests subroutines for EDSAC@ Cambridge
  - ~1952 John von Neumann donates designs for Princeton IAS
  - 1955 IBM SHARE User's Group founded
  - 1961 DECUS (Digital Equipment Corporation) user group founded
  - 1960s IBM HASP (mainframe OS code, user-modified)
    - » "Should old Chuck Forney be forgot, and HASP songs sung no more."
  - User-contributed libraries; trading amongst users
    - » Penn State ASSIST (Mashey & others), 1970-... still running 38 years later.
  - 1970s UNIX "open source" within Bell Labs
  - 1970s UNIX licensed to universities, government, "as is, don't call us"
  - 1970s John Lions "Commentary on UNIX, with Source Code"
  - 1970s Berkeley UNIX, Ken @ Berkeley, DARPA \$, Internet
  - 1976 B. W. Kernighan, P. J. Plauger, "Software Tools", RATFOR.
     » → Software Tools User's Group (STUG)
  - 1979 UNIX V7 released (reasonably) portable OS
  - 1985 Free Software Foundation (UNIX commands, especially GNU C)
  - 1991 Linux (kernel)
- Local libraries → magnetic tapes → UUCP → Internet → Web
- Local groups  $\rightarrow$  vendor-based groups  $\rightarrow$  large expansion

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### **Retrospective ... Future**

- John R. Mashey, "Languages, Levels, Libraries, and Longevity"
  - ACM Queue, Vol. 2, No. 9 Dec/Jan 2004-2005
  - http://www.acmqueue.org/modules.php?name=Content&pa=printer\_friendly&pid=245&page=1
- 'In 50 years, we've already seen numerous programming systems come and (mostly) go, although some have remained a long time and will probably do so for: decades? centuries? millennia? The questions about language designs, levels of abstraction, libraries, and resulting longevity are numerous. Why do new languages arise? Why is it sometimes easier to write new software than to adapt old software that works? How many different levels of languages make sense? Why do some languages last in the face of "better" ones?
- We can gather insights from the last 50 years of programming systems to the current time. For the far future, Vernor Vinge's fine science-fiction novel, A Deepness in the Sky, rings all too true. The young protagonist, Pham, has joined a starship crew and is learning the high-value vocation of "programmer archaeologist," as the crew's safety depends on the ability to find needed code, use it, and modify it without breaking something. He is initially appalled at the code he finds:
- The programs were crap...Programming went back to the beginning of time...There were programs here that had been written five thousand years ago, before Humankind ever left Earth. The wonder of it—the horror of it...these programs still worked...down at the very bottom of it was a little program that ran a counter. Second by second, the Qeng Ho counted from the instant that a human had first set foot on Old Earth's moon. But if you looked at it still more closely... the starting instant was actually about fifteen million seconds later, the 0-second of one of Humankind's first computer operating systems...

"We should rewrite it all," said Pham.

"It's been done," said Sura.

"It's been tried," corrected Bret..."You and a thousand friends would have to work for a century or so to reproduce it... And guess what—even if you did, by the time you finished, you'd have your own set of inconsistencies. And you still wouldn't be consistent with all the applications that might be needed now and then..."

"The word for all this is 'mature programming environment."